PTO/SB/21.(08-00) Approved for use through 10/31/2002. OMB 0651-0031 Please type a plus sign (+) inside this box -> + U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Numb r 10/028.999 RANSMITTAL December 20, 2001 Filing Date BLUMENKRANZ, STEPHEN J. First Named Inventor used for all correspondence after initial filing) 3739 **Group Art Unit Examiner Name** COPY OF PAPERS Total Number of Pages in This Submission Attorney Docket Number 017516-008310US ENCLOSURES (check all that apply) After Allowance Communication to Assignment Papers Fee Transmittal Form Group (for an Application) Appeal Communication to Board of Fee Attached __ Drawing(s) Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Response (Appeal Notice, Brief, Reply Brief) Petition Routing Slip (PTO/SB/69) After Final Proprietary Information and Accompanying Petition Petition to Convert to a Status Letter Affidavits/declaration(s) **Provisional Application** Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): Terminal Disclaimer Copy of Notice to File Missing Parts; Express Abandonment Request Preliminary Amendment; Declaration; Request for Refund Power of Attorney; Statement Under 37 CFR 3.73(b); and Return Postcard Information Disclosure Statement CD, Number of CD(s) The Commissioner is authorized to charge any additional fees to Certified Copy of Priority Deposit Account 20-1430. Remarks Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Townsend and Townsend and Crew LLP Firm and Reg. No. 45,231 Craig P. Wong Individual name Signature Date May 29, 2002 CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: May 29, 2002

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May 29, 2002

Date

PA 3225921 v1

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BLUMENKRANZ, STEPHEN J.

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First Named Inventor

claims small entity status. See 37 CFR 1.27 Examiner Name Group Art Unit 3739 017516-008310US TOTAL AMOUNT OF PAYMENT (\$) 891 Attorney Docket No. FEE CALCULATION (continued) METHOD OF PAYMENT (check all that apply) 3 ADDITIONAL FEES Credit Card MoneyOrder Other None Check Small Entity Large Entity Deposit Account Fee Fee Description (8) Paid Code (\$) Code Deposit 65 Account 20-1430 105 130 205 65 Surcharge - late filing fee or oath Number 227 25 Surcharge - late provisional filing fee or 127 50 cover sheet. Deposit Townsend and Townsend and 139 130 139 130 Non-English specification Account Crew LLP 147 For filing a request for reexamination 147 2,520 2.520 Name The Commissioner is authorized to: (check all that apply) 112 Requesting publication of SIR prior to 112 920* 920* Examiner action Charge fee(s) indicated below ____ Credit any overpayments Requesting publication of SIR after 113 1,8401 113 1,8401 Charge any additional fee(s) during the pendency of this application Examiner action Charge fee(s) indicated below, except for the filing fee 55 Extension for reply within first month 115 110 215 to the above-identified deposit account. 216 200 Extension for reply within second month 116 400 **FEE CALCULATION** Extension for reply within third month 117 920 217 460 BASIC FILING FEE 1. 720 Extension for reply within fourth month 118 218 1.440 Large Entity Small Entity Extension for reply within fifth month 128 1,960 228 980 Fee Fee Fee **Fee Description** 119 320 219 160 Notice of Appeal (8) Fee Paid Code Code (\$) Filing a brief in support of an appeal 220 160 120 320 370 101 740 201 370 Utility filing fee 121 280 221 140 Request for oral hearing 165 Design filing fee 106 330 208 Petition to institute a public use 1,510 207 255 Plant filing fee 138 510 138 107 1.510 proceeding 370 Reissue filing fee 108 740 208 Petition to revive - unavoidable 140 110 240 55 Provisional filing fee 114 160 214 80 141 1,280 241 640 Petition to revive - unintentional 1,280 242 640 Utility issue fee (or reissue) 142 SUBTOTAL (1) (\$)370 243 Design issue fee 143 460 230 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 244 310 Plant issue fee 144 620 Fee from 122 Petitions to the Commissioner 122 130 130 Extra Claims Fee Paid below Petitions related to provisional \$9 = \$288 Total Claims -20* Х 52 = 32 123 123 50 50 applications Independent 7 4 \$168 х \$42 Submission of Information Disclosure 126 180 126 180 Multiple Recording each patent assignment per Dependent 581 40 . 581 40 property (times number of properties) Small Entity Large Entity Filing a submission after final rejection 740 146 246 370 Fee Code Fee Fee Fee Fee Description (37 CFR § 1.129(a)) Code (\$) (\$) For each additional invention to be 149 740 249 370 203 9 Claims in excess of 20 103 18 examined (37 CFR § 1.129(b)) 42 Independent claims in excess of 3 202 84 102 Request for Continued Examination (RCE) 104 280 204 140 Multiple dependent claim, if not paid 179 740 279 370 ** Reissue independent claims over 169 169 900 Request for expedited examination of a 900 109 84 209 42 original patent design application ** Reissue claims in excess of 20 110 18 210 and over original patent Other fee (specify) SUBTOTAL (2) (\$)456 *Reduced by Basic Filing Fee Paid (\$)65 SUBTOTAL (3) **or number previously paid, if greater; For Reissues, see above

SUBMITTED BY Complete (if applicable)					
Name (Print/Type)	Craig P. Wong	Registration No. (Attorney/Agent)	45,231	Telephone	650-326-2400
Signature	Crava	P. Wond		Date	May 29, 2002

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APPLICATION NUMBER

ORIGINALLY FIL

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/028,999

12/20/2001

Stephen J. Blumenkranz

017516-008310US

20350 TOWNSEND AND TOWNSEND AND CREW, LLP TWO EMBARCADERO CENTER EIGHTH FLOOR SAN FRANCISCO, CA 94111-3834 CONFIRMATION NO. 3769
FORMALITIES LETTER
OC000000007744045

Date Mailed: 03/29/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$414.
 - \$288 for 32 total claims over 20.
 - \$126 for 3 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 849.

06/11/2002 BSAYASI1 00000083 201430 10028999

01 FC:201 370.00 CH 02 FC:203 288.00 CH 03 FC:202 168.00 CH 04 FC:205 65.00 CH

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